

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date: 1/25/05 Name: Gustavo Siller, Jr. Signature: [Signature]

BRINKS  
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GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Takuro Sugiura  
Appln. No.: 10/619,959  
Filed: July 14, 2003  
For: ILLUMINATION DEVICE AND  
LIQUID CRYSTAL DISPLAY  
DEVICE

Examiner: Long Pham

Art Unit: 2814

Attorney Docket No: 9281-4604

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

☒ Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Search Report for European Patent Application No. EP 03 25 4420; Copy of references B1-B7

☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Gustavo Siller, Jr. (Reg. No. 32,305)Date 1/25/05



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference(s):

<b>No.</b>	<b>Date of Publication</b>
6,323,919	11/2001
6,816,214 B1	11/2004
WO 99/63394	12/1999
EP 1 079 264 A3	04/2004
EP 1 079 264 A2	02/2001
2000-180631	06/2000
EP 0 940 706	09/1999

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Also enclosed is a copy of a Search Report dated January 3, 2005 for European Patent Application No. EP 03 25 4420. Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

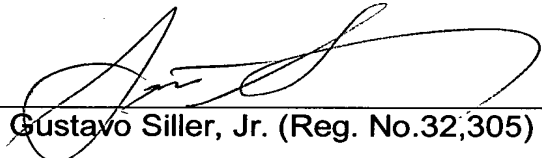
For purposes of 37 C.F.R. §1.704(d), Applicant certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference).

Applicant certifies under 37 C.F.R. §1.97(e)(1) that no item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Accordingly, Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

1/25/05

  
Gustavo Siller, Jr. (Reg. No.32,305)

FORM PTO-1449 JAN 27 2005 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO.	CASE NO.
	10/619,959	9281-4604
	FILING DATE	GROUP ART UNIT
	July 14, 2003	2814
APPLICANT: Takuro Sugiura		

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	6,323,919	11/2001	Ying Bao Yang et al.		
	B2	6,816,214 B1	11/2004	Kazumasa Ohsumi		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLAS S	TRANSLATION YES	NO
	B3	WO 99/63394	12/1999	PCT		X	
	B4	EP 1 079 264 A3	04/2004	Europe		X	
	B4	EP 1 079 264 A2	02/2001	Europe		X	
	B5	2000-180631	06/2000	Japan		X (Abstract)	
	B6	EP 0 940 706	09/1999	Europe		X	

EXAMINER INITIAL	<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>	
	B7	Copy of Search Report dated January 3, 2005 for European Patent Application No. EP 03 25 4420

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.